

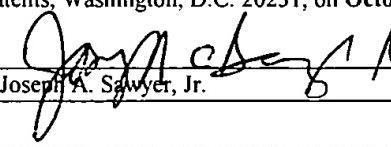


Attorney Docket: DA01028/1346P

2814

CERTIFICATE OF MAIL

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on October 25, 2001.


Joseph A. Sawyer, Jr.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Date: October 25, 2001

Chang, et al.

Serial No.: 09/539,458

Group Art Unit: 2814

Filed: March 30, 2000

Examiner: Pham, H.

For: METHOD AND SYSTEM FOR PROVIDING CONTACT TO A FIRST
POLYSILICON LAYER IN A FLASH MEMORY DEVICE

Assistant Commissioner of Patents
Washington, D.C. 20231

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JAN 18 2002
TC 2800 MAIL ROOM

TRANSMITTAL LETTER

Sir:

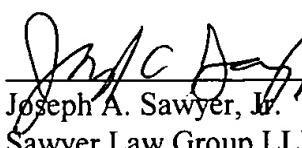
Please find enclosed the following documents relating to the above-mentioned patent application:

- 1) Information Disclosure Statement under 37 CFR 1.97
- 2) IDS Form 1449 and three (3) references
- 3) Transmittal Letter
- 4) Postcard.

If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below.

Respectfully submitted,

October 25, 2001
Date


Joseph A. Sawyer, Jr.
Sawyer Law Group LLP
Attorney for Applicant(s)
Reg. No. 30,801
(650) 493-4540

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INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. §1.97

Sir:

Pursuant to 37 C.F.R. §1.97 and §1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. The Examiner is requested to make these documents of record. This Information Disclosure Statement is being submitted:

- With copies.
- Without copies. Copies of the documents were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial No.*, filed *. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609 (A)(2).
- With the application; accordingly, no fee or separate requirements are required.
- Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- The documents listed on the attached Form PTO-1449 were cited in a Search Report directed to a counterpart international or foreign application.

X After receipt of a first Office Action on the merits before mailing of a final Office Action or Notice of Allowance.

- A fee is required. A check in the amount of \$* is enclosed.
- A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
- X A Certification under 37 C.F.R. §1.97(e) is provided below; accordingly; no fee is believed to be due.

X I hereby certify that each item of information was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

-OR-

 I hereby certify that no item of information was cited in a communication from a foreign patent office in a counterpart foreign application or, to the best of my knowledge after making a reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

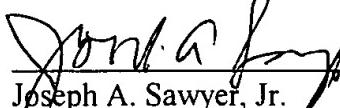
 After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, and a Certification under 37 C.F.R. §1.97(e) is provided herein.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

If any unresolved issues remain, please contact Applicant's attorney at the telephone number indicated below.

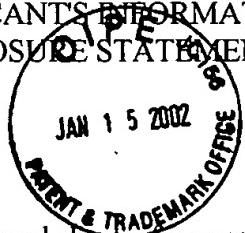
Respectfully submitted,

October 25, 2001
Date


Joseph A. Sawyer, Jr.
Attorney for Applicant(s)
Reg. No. 30,801
(650) 493-4540

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT



(Use several sheets if necessary)

ATTY. DOCKET NO.

DA01028 (1346P)

SERIAL NO.

09/539,458

APPLICANT:

Chang, et al.

FILING DATE:

March 30, 2000

GROUP:

2814

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	4 9 7 1 9 2 4	11-20-90	Tigelaar et al.			
	5 3 3 8 9 6 9	08-16-94	Kaya			
	6 0 2 2 7 9 9	02-08-00	Foote et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	INT'L CLASS	Translation
							YES NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line

through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.